



THE SOUTH ATLANTIC FISHERY MANAGEMENT COUNCIL

# South Atlantic Acceptable Biological Catch Control Rule





| Category   | Criteria   | ABC Determination  |
|------------|--|--|
| Category 1 | Stock is assessed; scientific uncertainty is adequately incorporated.  | The P* is applied to the assessment information to derive ABC.   |
| Category 2 | Stock is assessed; scientific uncertainty is not adequately evaluated or some assessment outputs may be lacking.                                     | The SSC will adjust the measures of uncertainty, P* will then be applied to the assessment information.  |
| Category 3 | The stock is assessed; scientific uncertainty is not adequately evaluated and cannot be addressed by adjusting the available uncertainty measures.   | The SSC will develop uncertainty measures as necessary to apply the P* to the available assessment information. Alternatively, the SSC may apply a direct buffer to the overfishing limit (or an overfishing limit proxy) to derive the ABC.   |
| Category 4 | No formal stock assessment accepted to provide OFL and ABC recommendations (reviewed through Southeast Data, Assessment, and Review [SEDAR] or SSC). | OFL and ABC will be developed according to the strategy proposed by the SSC's Data-Limited Working Group (Appendix I). The SSC will attempt to estimate OFL and its uncertainty using available data, applicable methods, and expert judgement. If an OFL and its uncertainty are defined, the SSC will apply P* to derive ABC. If an OFL is unable to be defined, the SSC will directly recommend an ABC. The process of updating OFLs and ABCs for unassessed stocks will occur over time as directed by the Council. The current OFL and ABC for unassessed species and species complexes will be maintained until updated levels are recommended by the SSC and approved by the Council. |

# ABC Control Rule Summary Table of Default Risk Tolerance Levels (Table 2.1.1.3)



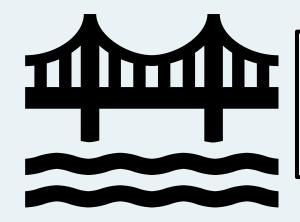
| Stock Risk | High Biomass  | Moderate Biomass  | Low Biomass   |
|------------|---|---|---|
| Rating     | Biomass exceeds<br>B <sub>MSY</sub> (or 110% B <sub>MSY</sub> ) | Biomass is ABOVE the<br>midpoint between B <sub>MSY</sub> and<br>MSST | Biomass is below the midpoint between B <sub>MSY</sub> and MSST |
| Low        | 45%   | 45%   | 40%   |
| Medium     | 45%   | 40%   | 30%   |
| High       | 40%   | 30%   | 20%   |

→ The stock risk rating and stock biomass would be used together to derive P\*

#### **ABC Control Rule Intro**



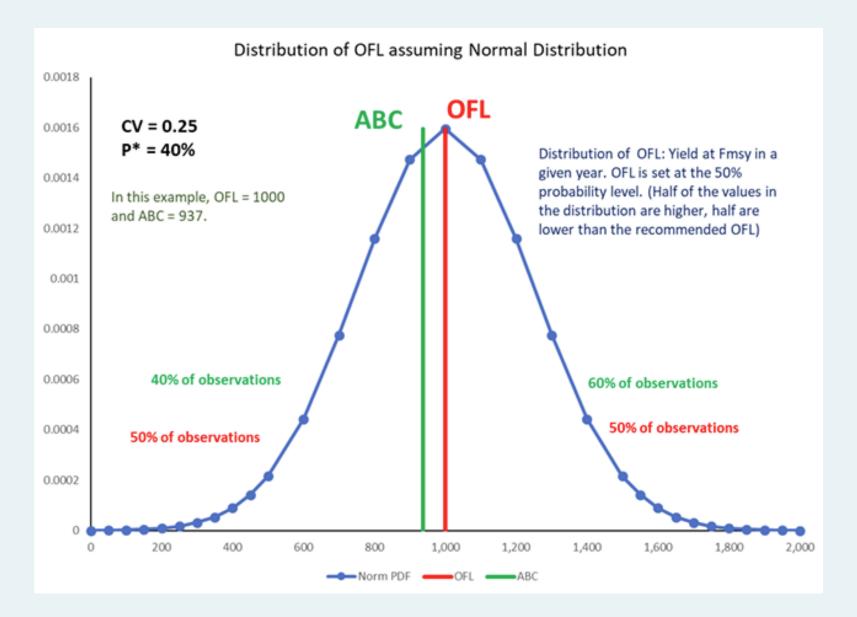
- ABC: SSC-recommended max amount of fish that can be annually harvested after accounting for scientific uncertainty and management risk tolerance
  - Basis for fed fisheries management
- ABC Control Rule Bridge between science and management
  - Defines how risk and uncertainty are evaluated and used to estimate ABC
  - OFL sci uncertainty/mgmt risk buffer (P\*) = ABC
    - Research
    - Monitoring
    - Assessment
    - OFL



ABC-BasedManagementRegulations

#### **ABC Control Rule Intro**





 Example distribution illustrating OFL and ABC

Risk tolerance (P\*) = 40%



#### **Old ABC Control Rule**

- Based on assessment category, organized into assessment Levels:
  - 1. Assessed using age, length, or biomass-based model (Tiers and P\* adjustments in Table 1)
  - 2. Unassessed, DBSRA (reliable landings and life history)
  - 3. Unassessed, DCAC (data deficient for DBSRA)
  - 4. Unassessed, ORCS (Only Reliable Catch Stocks; SG only)
  - 5. Unassessed, Decision Tree (No reliable catch)
  - → Limited flexibility for incorporating additional methods
  - → SSC recommended that methods for data-limited stocks are not "one size fits all"



#### Old ABC Control Rule - Level 1 Stocks

- Initial P\* is 50% (OFL); tiers are evaluated and P\* reduced by up to 10% for each tier
- Tiers:
  - 1. Assessment Information
  - 2. Uncertainty Characterization
  - 3. Stock Status
  - 4. Productivity and Susceptibility Analysis (PSA)
- Adjusted P\* is then applied to assessment projections to determine ABC



### Old ABC Control Rule - Level 1 Stocks

| Tier  | Tier Classification   |
|---|---|
| 1. Assessment Information (10%)             | <ol> <li>Quantitative assessment provides estimates of exploitation and biomass; includes MSY-derived benchmarks. (0%)</li> <li>Reliable measures of exploitation or biomass, no MSY benchmarks, proxy reference points. (2.5%)</li> <li>Relative measures of exploitation or biomass, absolute measures of status unavailable. Proxy reference points. (5%)</li> <li>Reliable catch history. (7.5%)</li> <li>Scarce or unreliable catch records. (10%)</li> </ol>  |
| 2. Uncertainty<br>Characterization<br>(10%) | <ol> <li>Complete. Key determinant – uncertainty in both assessment inputs and environmental conditions are included. (0%)</li> <li>High. Key determinant – reflects more than just uncertainty in future recruitment. (2.5%)</li> <li>Medium. Uncertainties are addressed via statistical techniques and sensitivities, but full uncertainty is not carried forward in projections. (5%)</li> <li>Low. Distributions of FRMSYR and MSY are lacking. (7.5%)</li> <li>None. Only single point estimates; no sensitivities or uncertainty evaluations. (10%)</li> </ol> |



### Old ABC Control Rule - Level 1 Stocks

| Tier  | Tier Classification   |
|---|---|
| 3. Stock Status (10%)                             | <ol> <li>Neither overfished nor overfishing. Stock is at high biomass and low exploitation relative to benchmark values. (0%)</li> <li>Neither overfished nor overfishing. Stock may be in close proximity to benchmark values. (2.5%)</li> <li>Stock is either overfished or overfishing. (5%)</li> <li>Stock is both overfished and overfishing. (7.5%)</li> <li>Either status criterion is unknown. (10%)</li> </ol> |
| 4. Productivity and Susceptibility Analysis (10%) | <ol> <li>Low risk. High productivity, low vulnerability, low susceptibility. (0%)</li> <li>Medium risk. Moderate productivity, moderate vulnerability, moderate susceptibility. (5%)</li> <li>High risk. Low productivity, high vulnerability, high susceptibility. (10%)</li> </ol>  |





(for Snapper-Grouper, Dolphin-Wahoo, Golden Crab FMPs)

#### WHAT CHANGED FROM PREVIOUS CONTROL RULE?

- New structure/terminology
- Uncertainty: SSC can adjust or derive OFL uncertainty
- Risk Tolerance: P\* specified by Council using <u>biomass</u> and <u>stock risk rating</u>
- Overfished Stocks: Specifies rebuilding plan takes precedence
- Unassessed Stocks: SSC will evaluate best method to estimate ABC (not restricted to a set group of methods)
- Incorporate Phase-In and Carry-over provisions

# What is the Stock Risk Rating based on?

| Biological                  | Human Dimensions             | Environmental        |
|-----------------------------|------------------------------|----------------------|
| Estimated natural mortality | Ability to regulate fishery  | Ecosystem importance |
| Age at maturity             | Potential for discard losses | Climate change       |
|                             | Annual commercial value      | Other                |
|                             | Recreational desirability    |                      |
|                             | Social concerns              |                      |

→ See excel table for descriptions of stock risk rating categories



# **Steps for Stock Risk Rating Use**

- Before an Assessment:
  - SSC and AP recommend risk levels for attributes that contribute to the stock risk rating to the Council.
  - The Council reviews SSC and AP recommendations and determines the stock risk rating
- During Assessment:
  - P\* will be derived using an estimate of relative biomass and the Council's stock risk rating (Table 2.1.1.3)
  - Projection analyses will be run using P\*=50% and the P\* value defined by Table 2.1.1.3 to derive estimates of OFL and ABC.



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