

ESA/MSA INTEGRATION AGREEMENT

SOUTH ATLANTIC FISHERY MANAGEMENT COUNCIL
NOAA FISHERIES SOUTHEAST REGIONAL OFFICE

Draft

November 15, 2015



Introduction

In January 2015, NMFS released a policy directive on Integration of Endangered Species Act (ESA) Section 7 with Magnuson-Stevens Act (MSA) processes. The directive implemented recommendations from the ESA Working Group which was convened by the Marine Fisheries Advisory Committee (MAFAC) and the Council Coordination Committee (CCC) to make recommendations on how to improve the involvement of regional management councils in the ESA section 7 consultation process. The directive provides guidance on development of an ESA/MSA Integration Agreement between a fishery management council and the regional office.

This **draft** ESA/MSA Integration Agreement (Agreement) is between the NOAA Fisheries Southeast Regional Office (SERO) and the South Atlantic Fishery Management Council (Council). The Agreement outlines procedures for Council involvement in each step of the formal consultation process, the role of SERO Protected Resources staff in the IPT process, expectations of SERO Protected Resources staff in communicating and informing the Council during Protected Resources Committee meetings, and communication standards between Council staff and SERO Protected Resources staff.

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Council Involvement in Formal Consultations

A. Agreement of the procedure

As soon as a need for a formal consultation is identified, SERO Sustainable Fisheries Division (SFD) will notify the Council by the next Council meeting. The Council will be notified whether the need to (re)initiate is due to a potential Council action (i.e., selection of a preferred alternative) or due to external factors, including but not limited to, changes in species listing or critical habitat, new scientific information on a listed species or interactions with the fishery, or exceedance of the incidental take statement (ITS). At the meeting the Council is notified that formal consultation needs to be (re)initiated, the Council will discuss, either during the Protected Resources Committee and/or at the full Council session, with input from SERO and NOAA General Counsel, the level of involvement (I, II, or III) requested for the consultation. Once consultation has been initiated, SERO Protected Resources Division (PR) and SFD, and the Council will tentatively agree on work products to be developed (i.e. draft RPAs/RPMs and draft biological opinion) and a timeline for delivery. It is acknowledged that timing may need to be adjusted during the process, and that SERO PR will notify the Council as soon as possible when there are any changes to the timeline.

1) Involvement I

- The Council receives status updates on the consultation at each Council meeting during Protected Resources Committee or during Full Council session (status quo).
- Delays in completion of the Biological Opinion are not expected to result from PRs preparation and delivery of these updates. These updates will be used to assess consultation progress and to make adjustments to tentative timeline agreements as necessary.

2) Involvement II

- The Council receives status updates on the consultation at each Council meeting during Protected Resources Committee or during Full Council session (same as Involvement I).
- SERO PR may request information from the Council on fishing practices, landings, and other information about the fishery, which will utilize the knowledge and expertise of Council members and may result in delays of the Biological Opinion depending on the level of analysis requested.
- Agreement on expected timeline and delivery of draft RPAs/RPMs.
- The Council will review draft RPAs/RPMs and provide input.
- Review of RPAs/RPMs could delay the delivery of the Biological Opinion by up to three months (time between the Council meetings).

3) Involvement III

- The Council receives status updates on the consultation at each Council meeting during Protected Resources Committee or during Full Council session (same as Involvement I).
- SERO PR may request information from the Council for effects analysis which may result in delays of the Biological Opinion depending on the level of analysis requested (same as Involvement II).
- Agreement on expected timeline and delivery of draft RPAs/RPMs (same as Involvement II).
- The Council will review draft RPAs/RPMs and provide input (same as Involvement II).

- Review of RPAs/RPMs could delay the delivery of the Biological Opinion by up to three months (time between the Council meetings).
- Agreement on expected timeline and delivery of draft biological opinion.
- The Council and Scientific and Statistical Committee (SSC) will review a draft biological opinion and provide input. The SSC meets twice per year (although the SSC can meet through webinar outside of their regular schedule) to review science related to management issues. SSC review could result in a significant additional delay of the biological opinion due to SSC meeting schedules. Additional delays may also occur because of the time needed for: (1) the Council and SSC to review the biological opinion and provide recommendations, (2) SERO to respond to any recommendations, and/or (3) revision to the analyses in the biological opinion.

B. Council Involvement During Consultation

1) Status updates [All levels]

The Council receives status updates on the consultation at each Council meeting during Protected Resources Committee or during Full Council session. The updates should include but are not limited to: current progress of analysis and biological opinion; expected timeline; and possible issues that will need to be addressed.

2) Information from the Council for Effects Analysis [Levels II and III]

Following initiation of a consultation, and while SERO SFD is compiling data and information for use in the analysis, SERO may request information from the Council about fishing practices, landings, and other information about the fishery, which will utilize the knowledge and expertise of Council members. SERO can present the request to the Council prior to an upcoming Protected Resources Committee or Full Council Session, or contact staff outside of a Council meeting. Sufficient time is necessary for Council members and Council staff to review the request and gather information to provide. Additional information may be provided by Council members and Council staff to SERO PR following the Council meeting.

3) Council Review of Draft RPAs/RPMs [Levels II and III]

The Council and SERO will agree on a timeline for delivery of the draft RPAs/RPMs for Council review. When available, SERO PR will present draft RPAs or RPMs under consideration during Protected Resources Committee and/or Full Council session and allow input from Council members. The draft RPAs/RPMs should be provided for the briefing book to allow time for Council members to review and prepare input. The briefing book deadline is generally three weeks prior to the Council meeting. If draft RPAs/RPMs undergo multiple revisions, the draft RPAs/RPMs can be presented at subsequent Council meetings.

The Council or Council staff may also request that Council staff be provided draft RPAs/RPMs for internal review outside of Council meetings.

4) Council/SSC Review of Draft Biological Opinion [Level III]

The Council and SERO will agree on a tentative timeline for delivery of the draft biological opinion for SSC and Council review. The agreed upon timeline should ensure the document and any supporting materials are provided at least two weeks prior to a meeting to enable adequate time for review. When available and cleared by NOAA GC, SERO PR will provide a draft biological opinion for review by the Council and/or the SSC. The Council may convene a special meeting of the SSC (in-person or webinar) to review the draft biological opinion, or the SSC may review the draft biological opinion at a regularly scheduled SSC meeting. At the subsequent Council meeting, the Council will review the SSC recommendations and also provide comments and input. In addition to discussion at the Council meeting, the Council recommendations will be provided to SERO PR in a report. SERO PR will provide responses to all Council recommendations in a memorandum to the Council. The memorandum will be provided at the subsequent Council meeting; however, if workload precludes the development of the memorandum, a conference call between SERO PR, SERO SFD, and SAFMC staff will be scheduled to discuss the comments.

Role of Protected Resources Staff on the IPT

A. SERO PR Staff Involvement in IPTs

Currently, SERO PR staff are included on interdisciplinary planning teams (IPTs) that are assembled for each amendment. Direct involvement of PR staff in IPT calls and writing responsibilities for amendments depends on the level of potential effects of the Council actions on protected species. For some Council actions, PR staff involvement will be minimal. When Council alternatives and decisions could affect protected species, PR staff will continue to be actively involved in the IPT and amendment process, including but not limited to:

- Provide input on the potential impact of alternatives on protected resources in early stages of development¹ of the alternatives, specifically if an alternative will trigger a formal consultation and projected delay in timing due to formal consultation;
- Evaluate likely timeframe needed to complete the Biological Effects: Protected Resources section of an amendment. The PR staff on the IPT should be prepared to comment on the potential alternatives in relation to jeopardy/non jeopardy with the ESA² when alternatives are reviewed by the IPT. If an alternative is likely to cause jeopardy, the IPT will need to notify the Council at the subsequent meeting. The Council will determine if work should continue on that alternative.
- PR staff may be assigned to analysis and writing assignments for an amendment document. IPT leads will provide expected timelines and deadlines for writing assignments. In most cases, analysis and writing assignments are due within 3-4 months of the IPT call. If PR staff assigned to analysis/writing cannot meet a deadline, the IPT

¹ “Early stage” will depend on the expected timeline and prioritization of the amendment for the Council, but in general the early stage would mean the first and second IPT meetings after the Council has directed staff to start work on an amendment.

² This does not constitute a formal decision but used to provide guidance to the IPT and Council.

leads must be notified as soon as possible. If PR staff are unable to complete analysis and writing assignments, other IPT members will be assigned to complete the sections in order to meet timeline expectations for an amendment.

- Provide input on ways that effects on protected species could be minimized.
- Provide memorandum to SFD and Council if an amendment/action is not likely to cause jeopardy to ESA listed species or impact marine mammals.

Protected Resources Staff Involvement at Committee/Council meetings

A. Continue Existing Agreement to Update Council on PR-related Issues at each Council Meeting

Currently, SERO PR staff provides a briefing book document for each Council meeting with the status of all PR ESA and MMPA-related actions that may affect South Atlantic fisheries management and/or require action by the Council. Briefings may include, but are not limited to, updates on Section 7 consultations, proposed regulations, recovery plans, status reviews, and take reduction team/plan developments. SERO PR staff will continue to provide presentations on one or more of these actions at the request of SERO PR or the Council, depending on the level of detail needed to keep the Council informed.

B. SERO PR and Council Staff Point of Contact

The SAFMC staff is lead for the Protected Resources Committee and SERO PR will provide a staff Council Liaison. The designated Points of Contact are listed on the SAFMC website (www.safmc.net under the Committee Link) and should be contacted for emerging protected resources issues.

Signing this agreement indicates acknowledgement of the preceding language. However, NMFS retains the discretion to conduct any individual ESA Section 7 consultation differently from the process spelled out in this agreement.

Southeast Regional Office
Regional Administrator
Dr. Roy Crabtree

South Atlantic Fishery Management Council
Executive Director
Robert Mahood