# Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMPS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

When do I need to file my financial disclosure?

Nominees: This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

Members: A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed.

All Council members must file this form annually by February 1st regardless of whether any information on the form has changed.

SSC Nominees and Members: Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1st regardless of whether any information on the form has changed.

**Ouestions?** 

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

#### **PENALTIES**

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fall to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

| STATEMENT OF FINA           | ANCIAL INTERES            | STS                                |  |
|-----------------------------|---------------------------|------------------------------------|--|
| Nominee or Member's Nam     | e (Print last, first, mic | ddle Intital) Marhefka, Kerry O    |  |
| Name of Council or Council  | Committee South At        | tiantic Fishery Management Council |  |
| Type of Appaintment (list N | lominee or Member)        | Member                             |  |
| Type of Filing:   Annual    | ☐ Supplemental            | ☐ Nomines                          |  |
| Instructions                |                           |                                    |  |

- 1. Carefully read the questions for Parts 1-3 on the following pages.
- 2. In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more room to provide detail, please attach those pages to this form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- 4. In Part 2, if you select "I have no spouse, partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- 5. After you have completed the form, sign and date the form on the last page.
- 6. Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees.

Properwork Reduction Act

Notwithstending any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Properwork Reduction Act unless that collection of information displays a currently valid OAB Control Number. The public reporting funds for this information, on this NOAA Form 88-198, is estimated to average 35 minutes per response, including time for reviewing instructions, secreting cutsing date sources, gathering and maintaining the date needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any expect of this collection of information, including suggestions for reducing this burden to the National Marine Februare, Office of the Chief Information Officer (F/CiO), 1316 East-West Highway, SSMC #3, 5° Floor, Silver Spring, Maryland 20910.

This form, as completed by easted members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable bours at the Council's Office and at each public hearing or public meeting.

## **Part 1: Personal Financial Interest** Section 1.1: Assets and Ownership Question 1.1.1 Do you have any stock, equity or ownership interest (whole or partiel ownership) in a company or business engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned? O No Yes Check all that apply: Hervesting Processing Marketing ☐ Provides equipment essential to any of the above activities Provides other services essential to any of the above activities Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest. My husband and I own Abundant Seafood. Est. March 2006. We primarily purchase and sell SA Snapper Grouper species, dolphin wahoo species, wreckfish, red shrimp, king mackerel and some species managed in State waters. **Ouestion 1.1.2** Do you have stock, equity or ownership interest (whole or partial ownership) in any fishing vessel engaged in the following activities in any fishery under the jurisdiction of the Council concerned? No O Yes Check all that apply: Harvesting ☐ Processing Marketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest. My husband owns a fishing vessel (see below). I am not considered an owner of that vessel.

| Quest       | ion 1.1.                                       | .3                                  |                                 |                |  |        |
|-------------|--|-------------------------------------|---------------------------------|----------------|--|--------|
|             |  |                                     | wnership int                    | eresi          | of (whole or partial ownership) in any other entity engaged in the following   |        |
|             |  |                                     |                                 |                | the Council concerned?   |        |
| <b>(a)</b>  | No   |                                     |                                 |                |  |        |
| 0           | Yes  |                                     |                                 |                |  |        |
|             |  | ill that apply:                     |                                 |                |  |        |
|             |  | Harvesting                          |                                 |                |  |        |
|             |  | Processing                          |                                 |                |  |        |
|             |  | Marketing                           |                                 |                |  |        |
|             |  |                                     | conent essen                    | tial t         | to any of the above activities   |        |
|             | ō  | Provides other                      | er services es                  | senti          | tial to any of the above activities  |        |
| share       | holdings                                       |                                     | nonetary or                     | in-ki          | est, as indicated above. Please include the percentage ownership or<br>ind enumeration of the entity. Please include entity name, date the<br>erest.         |        |
| Slowing ser | any stod<br>vices rela<br>No<br>Yes<br>Check a |                                     | Consulti                        | e juri         | : (whole or partial) in a company, business, or other entity that provides any risdiction of the Council concerned?  | of the |
| share       | holdings<br>st began                           | s or any other s<br>, and fishery o | nonetary or l                   | in-kii         | est, as indicated above. Please include the percentage ownership or<br>ind enumeration of the entity. Please include entity name, date the<br>crest.         |        |
| •           | on 1.1.  |                                     |                                 |                |  |        |
| by (who     | have have<br>O <b>y or p</b> a<br>ncil conc    | rtially) enothe                     | uity or owner<br>rentity that p | rship<br>provi | p interest in any entity that either owns (wholly or partially) or is owned<br>rides any of the following services for any fishery under the jurisdiction of |        |
|             | No   |                                     |                                 |                |  |        |
|             |  |                                     |                                 |                |  |        |
| O           | Yes  |                                     |                                 |                |  |        |
|             |  | ii that apply:                      |                                 |                |  |        |
|             |  | Consulting                          |                                 |                | Harvesting   |        |
|             |  | Legal                               |                                 |                | Processing   |        |
|             |  | Representation                      | mai Services                    |                | Marketing  |        |
|             |  | Lobbying                            |                                 |                | Provides equipment essential to any of the listed activities   |        |
|             |  | Advocacy                            |                                 |                | Provides other services essential to any of the listed activities  |        |
|             | _  | Madestina                           |                                 |                | •  |        |

| Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include company or business name, date the interest began, and fishery or fisheries of interest. |  |  |  |  |
|--|--|--|--|--|
| Section 1.2:   | Employment   |  |  |  |
| jurisdiction of th   | 1.<br>ployment with any company or business engaged in the following activities in any fishery under the<br>a Council concerned?                           |  |  |  |
| O No<br>Yes  |  |  |  |  |
| Check all that ap  | Provides equipment essential to any of the listed activities  Processing  Provides other services essential to any of the listed activities  Marketing     |  |  |  |
| Please list and  | d describe each applicable interest, as indicated above. Please include beginning date of company or business name and fishery or fisheries of interest.   |  |  |  |
| I work for o   | ur company Abundant Seafood (see above) and help my husband with nt of his fishing vessel (see above).   |  |  |  |
| the Council conc  No  Yes  Check all that ag  □  | ployment with a fishing vessel engaged in the following activities in any fishery under the jurisdiction of<br>armed?                                      |  |  |  |
| Please list an of employme   | d describe each applicable interest, as indicated above. Please include beginning date<br>nt, vessel name, gear type and fishery or fisheries of interest. |  |  |  |

| Question 1.2.3  Do you have employment with any other entity engaged in the following activities in any fishery under the jurisdiction of the Council concerned?  No Yes  Check all that apply:  Harvesting Processing Warketing Provides equipment essential to any of the above activities Provides other services essential to any of the above activities  |
|--|
| Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.  |
| Question 1.2.4  Do you have employment with any company, business, or other entity that provides any of the following services relate to any fishery under the jurisdiction of the Council concerned?  No Yes  Check all that apply:    Lobbying   Legal     Advocacy   Representational Services  |
| Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name and fishery or fisheries of interest.  |
| Question 1.2.5  Do you have employment with any entity that either owns (wholly or partially) or is owned by (wholly or partially) another entity providing any of the following services, or providing equipment or services essential to harvesting, processing, lothlying, advocacy, or marketing activities, in any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Consulting  Harvesting  Processing  Representational Services  Marketing  Provides equipment essential to any of the listed activities |

| Please list and<br>employment, e | describe each applicable interest, as indicated above. Please include beginning date of entity name and fishery or fisheries of interest. |       |
|----------------------------------|---|-------|
| Question 1.2.6                   |   | ]     |
| Do you have emp                  | lowment with an association or organization whose members include companies, vessels, or other  |       |
| _                                | n any of the following activities in any fishery under the jurisdiction of the Council concerned?   |       |
| ● No                             |   |       |
| O Yes                            | lab at analy.   |       |
| _                                | i that apply:<br>Harvesting   |       |
|                                  | Processing  |       |
|                                  | Lobbying  |       |
|                                  | Advecacy  |       |
|                                  | Marketing Provides equipment essential to any of the above activities   |       |
| 0                                | Provides other services essential to any of the above activities  |       |
|                                  |   | 7     |
|                                  | describe each applicable interest, as indicated above. Please include beginning date of   |       |
| employment,                      | association name and fishery or fisheries of interest.  |       |
| 1                                |   | ļ     |
| Į                                |   |       |
|                                  |   | 1     |
|                                  |   |       |
| }                                |   | ł     |
| ţ                                |   | 1     |
|                                  |   | j     |
|                                  |   |       |
| Section 1.3: (                   | Other Service with an association or organization   |       |
| 0                                | •   |       |
| Question 1.3.1                   | l<br>is an <b>officer, director, or trustee</b> of any organization or association whose members include companie                         | s.    |
| vessels, or other (              | entities engaged in any of the following activities in any fishery under the jurisdiction of the Council co                               | nceme |
| ® No                             |   |       |
| O Yes                            |   |       |
|                                  | i that apply:   |       |
|                                  | Harvesting  |       |
|                                  | Processing .  |       |
| _                                | Lobbying  |       |
|                                  | Advecacy Marketing  |       |
|                                  | Provides equipment essential to any of the above activities   |       |
|                                  | Provides other services essential to any of the above activities  |       |
|                                  |   | 1     |
|                                  | describe each applicable interest, as indicated above. Please include beginning date of service,  | 1     |
| entity name an                   | nd fishery or fisheries of interest.  |       |
| 1                                |   |       |
|                                  |   | ļ     |
| ĺ                                |   | 1     |
|                                  |   | 7     |
|                                  |   | , ·   |

| Part 2: Financial Interest of Spouses, Partners, and Minor Children  |  |  |  |  |
|--|--|--|--|--|
| you have no spouse, partner, or minor children, check the box below and proceed to Part 3.    I have no spouse, partner, or minor children   |  |  |  |  |
| Section 2.1: Assets and Ownership  |  |  |  |  |
| Question 2.1.1  Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting  Processing  Marketing  Provides equipment essential to any of the above activities  Provides other services essential to any of the above activities                  |  |  |  |  |
| Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.  My husband co-owns Abundant Seafood a seafood retail and wholesale business with me.  |  |  |  |  |
| Question 2.1.2  Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing wessel engaged in the following activities in any fishery under the jurisdiction of the Council concerned?  No  Yes  Check all that apply:  Harvesting  Processing  Marketing  Provides equipment essential to any of the above activities  Provides other services essential to any of the above activities                                      |  |  |  |  |
| Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.  My husband owns a commercial fishing vessel (Amy Marie, CG Doc. 684458) with Commercial snapper grouper, king and Spanish mackerel and dolphin wahoo permits. |  |  |  |  |

| Question 2.1.3  |   |
|---|---|
| Does your spouse, partner, or minor child have any stock, equity or ownership interest (whole or partial) in any other intity engaged in the following activities in any fishery under the jurisdiction of the Council concerned?   |   |
|   |   |
| ® No  |   |
| O Yes   |   |
| Check all that apply:    Hervesting   |   |
| Processing  |   |
| ☐ Marketing   |   |
| ☐ Provides equipment essential to any of the above activities   |   |
| Provides other services essential to any of the above activities  |   |
| Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest. |   |
| Question 2.1.4  Does your spouse, partner, or minor child have any stock, equity or ownership interest (wholly or partially) in a company, business, or other entity that provides any of the following services for any fishery under the jurisdiction of the Council concerned?       |   |
| No  |   |
| - ··-   |   |
| O Yes Check all that apply:   |   |
| Creek on the copy,  |   |
|   |   |
| ☐ Representational Services   |   |
| □ Lobbying  |   |
| □ Advocacy  |   |
| ☐ Marketing   | 1 |
| Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include entity name, date the interest began, and fishery or fisheries of interest. |   |
| Section 2.2 Employment of Spouse, Partner, or Minor Child   |   |
|   |   |
| Question 2.2.1  |   |
| Does your spouse, partner, or minor child have employment with any company or business engaged in the following   |   |
| activities in any fishery under the jurisdiction of the Council concerned?  |   |
| O No  |   |
| Yes   |   |
| Check all that apply:  Harvesting   |   |
| narvasong     Processing  |   |
| Marketing   |   |
| Provides equipment essential to any of the above activities   |   |
| Provides other services essential to any of the above activities  |   |

| beginn              | <i>ing date</i><br>nor chi | of employmen  | rt, com            | and describe each applicable interest, as indicated above. Please include appany or business name and fishery or fisheries of interest.  Time (8 hours a week) for Abundant Seafood, our family |
|---------------------|----------------------------|---|--------------------|---|
| Does yo<br>any fish | ery unde<br>No             | e, partner, or  | minor (<br>en of t | child have employment with a fishing vessel engaged in the following activities<br>he Council concerned?  |
| U                   | •                          | ll that apply:<br>Harvesting                            |                    |   |
|                     |                            | Processing<br>Marketing<br>Provides equ<br>Provides oth | ipmen<br>er serv   | t essential to any of the above activities<br>ices essential to any of the above activities   |
| Pleas<br>begin      | e list per<br>ning dat     | son and relati<br>e of employm                          | onship<br>ent, ve  | and describe each applicable interest, as indicated above. Please include issel name, gear type and fishery or fisheries of interest.   |
| Does yo<br>any fish | ery undi<br>No<br>Yes      | e, partner, or  | minor<br>len of t  | child have employment with any other entity engaged in the following activitie<br>the Council concerned?  |
|                     | 0                          | Harvesting Processing Provides equ Provides other       | ılpmen<br>ter sen  | it essential to any of the above activities<br>vices essential to any of the above activities   |
| Plea:<br>begii      | e list pe                  | rsen and relat  | ionship            | o and describe each applicable interest, as indicated above. Please include ntity name and fishery or fisheries of interest.  |
| Does you            | ion 2.2 our spou the follo | se, partner, or   | minor              | child have employment with any company, business, or other entity that prov<br>to any fishery under the jurisdiction of the Council concerned?  |
|                     | Check (                    | ill that apply:<br>Lobbying<br>Advacacy                 |                    |   |
| Pleas<br>begin      | e list per                 | son and relati  | onship             | and describe each applicable interest, as indicated above. Please include tity name and fishery or fisheries of interest.   |
|                     |                            |   |                    |   |

|   | partially) and   |                                     | nployment with any entity that either owns (wholly or partially) or is widing any of the following services in any fishery under the jurisdiction  |
|---|--|-------------------------------------|--|
| O No O Yes Check all the Cor Leg                      | sulting  | 0000                                | Harvesting Processing Lobbying Advocacy Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities   |
| beginning date of Question 2.2.6 Does your spouse, pa | employment,  | entity name an                      | e each applicable interest, as indicated above. Please include and fishery or fisheries of interest.  In ployment with an association or organization whose members include any of the following activities in any fishery under the jurisdiction of the |
| Check all the   | vesting   cessing   deping   deping   deping   deping   depine   d | rvices essential<br>ship and descri | any of the above activities I to any of the above activities The each applicable interest, as indicated above. Please include the each applicable interest, as indicated above.  |

Question 2.3.1

# <u>Section 2.3: Service with an association or organization by Spouse, Business Partner, or</u> Minor Child

| ssociation who |                          | minor child serve as an officer, director, or trustee of any organization or mpanies, vessels, or other entities engaged in any of the following activities in any uncil concerned?  |
|----------------|--------------------------|--|
| ONo            |                          |  |
| Oyes           |                          |  |
| _<br>_<br>_    |                          | Legal  |
| beginning dat  | te of service, entity na | and describe each applicable interest, as indicated above. Please include me, and fishery or fisheries of interest.  See the describe each applicable interest.  |
| ownership, is  | not known to you         | l information, including holdings placed in trust or percentage<br>but is known to another person, you should request that other<br>on on your behalf and should report such request in the space  |
| and describe e | ach applicable interes   | uested information for Part 3. When available, have that person please list<br>t. Please include the percentage ownership or share holdings or any other<br>lease include entity name, date the interest began, and fisheries of interest. |
|                |                          | ·  |

### **Signature**

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature WWW WWW