



NOAA
FISHERIES

Guidance for Conducting Reviews of Catch Share Programs

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Goals and Objectives of Guidance

- Goals are:
 - ensure the reviews meet statutory requirements,
 - generally consistent across the country, and
 - carried out in a transparent, efficient, and effective manner
- Objectives are to clarify:
 - the process that should be followed,
 - the elements a review should contain, and
 - the program components that should be addressed when completing a review

Creation of Guidance

- HQ team drafted the guidance. Guidance underwent a lengthy internal and external review process.
- Several rounds of internal feedback received from CSP experts in the Regions, Centers, & other HQ offices
- NMFS provided draft to Councils for review. Guidance discussed at Council meetings and Councils provided feedback to NMFS. Two revised drafts provided to CCC for review.
- NMFS revised guidance based on CCC feedback and received their concurrence in May 2016

Periodicity of Reviews

- Initial review: in general, 5 years after the program was established if established before January 12, 2007 (MSA reauthorization) or by end of calendar 2017 if established before (e.g., wreckfish ITQ)
- Subsequent reviews: at least every 7 years after initial.
- No more frequently than every 3 years

Review Process

- Reviews should use the best scientific information available
- Review team is determined by the Council with Council as lead or as co-lead with NMFS
- Review should be outlined as early as possible to ensure necessary data are collected
- Review outline should be converted to review plan prior to initiating the review
- Solicit public input on Review. Gulf Council created ITQ AP for RS review. SA Council is and will be seeking input from wreckfish ITQ participants.

NMFS Concurrence

- Council approves draft review plan; NMFS then “concurrs” that the plan will meet the MSA requirement.
- At completion of the review, NMFS again “concurrs” that the plan was followed and the review meets the requirements of the MSA.

General Approach and Scope

- Primary objective of the review is to assess progress in meeting goals and objectives of the program, FMP, and MSA.
- Review should describe and analyze effects that have taken place since the baseline time period (pre-implementation, implementation, or last review).
- Incorporate by reference and summarize relevant findings.
- Use standardized indicators and Holistic approach when possible (management interdependencies)

Document Structure

- Purpose and Need of review.
- Goals and Objectives of the program, FMP, and MSA.
- History of Management.
- Description of biological, economic, ecological, social, and administrative effects.
- Evaluation of above effects plus any unexpected effects.
- Identification of program issues that may need to be addressed.

Analyses

- Analyze and evaluate components of the program, unless component is N/A or subject to current action:
 - Goals and objectives;
 - Allocation;
 - Eligibility;
 - Transferability;
 - Catch and sustainability;
 - Accumulation limits/caps;
 - Cost recovery;
 - Data collection, monitoring and enforcement;
 - Duration;
 - New entrants; and
 - Auctions and royalties