Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMFS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, business partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, business partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

When do I need to file my financial disclosure?

Nominees: This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

Members: A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed. All Council members must file this form annually by February 1st regardless of whether any information on the form has changed.

SSC Nominees and Members: Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1st regardless of whether any information on the form has changed.

Questions?

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

PENALTIES

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fail to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

STATEMENT OF FINANCIAL INTERESTS

Nominee or Member's Nam	e (Print last, first, mic	ddle initial) Spottswood Jr., Robert A.	
Name of Council or Counci	I Committee South At	lantic Fishery Management Council	
Type of Appointment (list N	Nominee or Member) _	Member	
Type of Filing: ■ Annual	☐ Supplemental	□ Nominee	
Instructions			

- 1. Carefully read the questions for Parts 1-3 on the following pages.
- In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box
 by any applicable financial interest from the list below the question and provide the appropriate detail
 explaining your selection. If you need more room to provide detail, please attach those pages to this
 form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- 4. In Part 2, if you select "I have no spouse, business partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- 5. After you have completed the form, sign and date the form on the last page.
- Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees.

Paperwork Reduction Act

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the an eeded, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3rd Floor, Silver Spring, Maryland 20910.

Public Access to Information

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.

Part 1: Personal Financial Interest

Section 1.1: Assets and Ownership

Harvesting

Questi	on 1.1.1
Do you h	have any stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in
	ne following activities in any fishery under the jurisdiction of the Council concerned?
_	No
•	Yes
	Check all that apply:

ProcessingMarketing

Provides equipment essential to any of the listed activities

Provides other services essential to any of the listed activities

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.

- Spottswood Companies, Inc. - Shareholder, Successor Trustee and Beneficiary

- One of One - COO/Co Founder, Equity Owner

Question 1.1.2

Do you have any **stock**, **equity or ownership interest** (whole or partial ownership) in any **fishing vessel** engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?

No
 Yes

Check all that apply:

□ Harvesting
 □ Processing
 □ Marketing
 □ Provides equipment essential to any of the listed activities
 □ Provides other services essential to any of the listed activities

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type and fishery or fisheries of interest.

Questi	on 1.1.	3									
		stock, equity							ther entity	y engaged in	any of
		ivities in any	fisher	y under the j	jurisdictio	on of the Cou	incil conce	erned?			
	No										
•	Yes										
		Il that apply:									
		Harvesting Processing									
		Marketing									
		Provides eq	uipme	ent essential	to any of	the listed ac	tivities				
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Do you l provides	any of t No Yes	stock, equity he following: If that apply: Lobbying		es for any fis Consulting Legal	hery und	er the jurisdi					at
		Advocacy		Representa	tional Se	rvices					
share	holdings	describe eac or any other , and fishery	mon	etary or in-ki	ind enum						
Do you l	on 1.1.	stock, equity	y or o	wnership int	terest in a	any entity th	nat either	owns (who	lly or parti	ally) or is ow	vned by
fishery u	inder the	ally) another jurisdiction				or that provid	des any of	the follow	ing activiti	es or service	es for any
	No										
0	Yes										
		ll that apply:									
		Consulting		_							
		Legal			Proces	sing					

Provides equipment essential to any of the listed activities

Provides other services essential to any of the listed activities

☐ Representational Services ☐ Marketing

☐ Lobbying

☐ Advocacy

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the entity. Please include company or business name, date the interest began, and fishery or fisheries of interest.							
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O Yes Check all that apply: □ Consulting □ Harvesting □ Lobbying		a source concerned.
Check all that apply: ☐ Consulting ☐ Harvesting ☐ Lobbying	•	
☐ Consulting ☐ Harvesting ☐ Lobbying		
The National Property of the State of the St		
☐ Legal ☐ Processing ☐ Advocacy ☐ Representational Services ☐ Marketing		

Provides equipment essential to any of the listed activities
 Provides other services essential to any of the listed activities

Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name, and fishery or fisheries of interest.	

Question 1.2.6

Do you have employment with an association or organization whose members include companies, vessels, or other entities that are engaged in any of the following activities or services for any fishery under the jurisdiction of the Council concerned?

O No O Yes

Check all that apply:

Harvesting ConsultingProcessing Legal

Lobbying Representational Services

AdvocacyMarketing

Provides equipment essential to any of the listed activities

Provides other services essential to any of the listed activities

Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, association name, and fishery or fisheries of interest.

See 1.1.1

Section 1.3: Other Service with an association or organization

Question 1.3.1

Are you **serving as an officer, director, or trustee** of any organization or association whose members include companies, vessels, or other entities that are engaged in or provide any of the following activities or services for any fishery under the jurisdiction of the Council concerned?

O No

Yes

Check all that apply:

Harvesting ConsultingProcessing Legal

Lobbying Representational Services

Advocacy

Marketing

Provides equipment essential to any of the listed activities

Provides other services essential to any of the listed activities

Please list and describe each applicable interest, as indicated above. Please include beginning date of service, entity name, and fishery or fisheries of interest.

See 1.1.1

Part 2: Financial Interest of Spouses, Business Partners, and Minor Children

If you h	f you have no spouse, business partner, or minor children, check the box below and proceed to Part 3. I have no spouse, business partner, or minor children							
Section	n 2.1:	Assets and Ownership						
	ur spous hip) in a concil conc No Yes Check a	e, business partner, or minor child have any stock, equity or ownership interest (whole or partial company or business engaged in any of the following activities for any fishery under the jurisdiction of						
share compo	holdings any or bu	describe each applicable interest, as indicated above. Please include the percentage ownership or or or any other monetary or in-kind enumeration of the company or business. Please include usiness name, date the interest began, and fishery or fisheries of interest.						
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in any other entity engaged in any concerned?	r, or minor child have any stock, equity or ownership interest (whole or partial) of the following activities for any fishery under the jurisdiction of the Council
No	
O Yes	
Check all that apply:	
☐ Harvesting	
□ Processing	
□ Marketing	
	ent essential to any of the listed activities
☐ Provides other se	rvices essential to any of the listed activities
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partially) in a company, business, o the jurisdiction of the Council conce No Yes	r, or minor child have any stock, equity or ownership interest (wholly or or other entity that provides any of the following services for any fishery under erned?
Check all that apply:	
Consulting	
☐ Legal	Canadala
Representational	Services
□ Lobbying	
☐ Lobbying☐ Advocacy	
☐ Advocacy Please list and describe each app	licable interest, as indicated above. Please include the percentage ownership or etary or in-kind enumeration of the entity. Please include entity name, date the heries of interest.
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Section 2.2 Employment of Spouse, Business Partner, or Minor Child

Question 2.2.1	siness partner, or minor child have employment with any company or business engaged in any
	ties for any fishery under the jurisdiction of the Council concerned?
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O Yes	
Check all that	capply:
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	essing
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	ides equipment essential to any of the listed activities ides other services essential to any of the listed activities
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	siness partner, or minor child have employment with a fishing vessel engaged in any of the any fishery under the jurisdiction of the Council concerned?
O Yes	
Check all that	
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☐ Proc	
	ides equipment essential to any of the listed activities
	ides other services essential to any of the listed activities
	nd relationship and describe each applicable interest, as indicated above. Please include imployment, vessel name, gear type, and fishery or fisheries of interest.
Question 2.2.3	
following activities for	iness partner, or minor child have employment with any other entity engaged in any of the any fishery under the jurisdiction of the Council concerned?
No	
O Yes	
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□Harves	
Market	
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		Advocacy			tatio	nal Services		
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<u>Section 2.3: Service with an association or organization by Spouse, Business Partner, or Minor Child</u>

Question 2.3. Does your spous		er, or m	ninor child serve as an officer, director, or trustee of any organization or
association whose fishery under the			panies, vessels, or other entities engaged in any of the following activities in any icil concerned?
⊚No	,,		
Oyes			
Check a	Il that apply: Harvesting		Consulting
	Processing Lobbying Advocacy		Legal Representational Services
	Marketing		
			sential to any of the listed activities s essential to any of the listed activities
Part 3: Info	ormation R	leque	ested of Other Persons
ownership, is	not known t	o you l	information, including holdings placed in trust or percentage but is known to another person, you should request that other n on your behalf and should report such request in the space
and describe e	ach applicable i	nterest.	ested information for Part 3. When available, have that person please list Please include the percentage ownership or share holdings or any other rase include entity name, date the interest began, and fisheries of interest.
Signature			
I certify that the correct to the be	statements I ha	ive mad edge.	le on this form and all attached statements are true, complete, and

Date (mm/dd/yyyy) 1 7 2025